

For clients

thesis

asset management

Helping you achieve  
your financial goals

“Most of our Investment Managers have been with Thesis for many years, and clients benefit from their valuable experience of investing through changing market conditions.”



Professional  
investment partners

# An introduction to Thesis Asset Management

## About Thesis

Thesis has been established for over 40 years, based in Chichester, West Sussex, where our Head Office remains today. The business has three further offices in Guildford, Brighton and Lymington. Our reputation has built rapidly throughout the years as we have continued to help our clients achieve their financial objectives, offering them the highest standards of personal service.

Many clients today are introduced to us through referrals from financial advisers, accountants and lawyers, and we welcome the opportunity to work in partnership with other professional firms.

As of end August 2018, we have approximately £15.1 billion under management on behalf of clients.

## What we do

Thesis manages investments for many types of client, from individuals to charities, pension funds and trusts. We use a central investment process and our own research and analysis to support our Investment Managers. We pride ourselves on our professional partnerships and high standards of client service.

Our investment experts have in-depth experience of financial markets combined with a strong focus on providing a friendly, straightforward and client-centric service.

We aim to help our clients, whether they are seeking long term growth, an income later in life, or to pass their wealth to future generations. We manage bespoke investment solutions that are tailored to your individual preferences, model portfolios and our Optima range of unit trust funds.

Although portfolio management is the core of how we can support you, we can also offer you a range of specialist investment solutions dependent upon your needs. These include the management of our Managed Income Service, to assist clients with their retirement needs, our Ethical and Charity Portfolios and a specialist Inheritance Tax (IHT) tax efficient portfolio service.

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Chichester



Guildford





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## Our investment process

Our centralised investment approach enables us to apply the same principles consistently across the business, resulting in a disciplined and scalable process. Although managed using a consistent process, investment portfolios may contain very different holdings to meet the objectives of different investors. All portfolios are subject to rigorous oversight and monitoring to ensure that they hold an appropriate mix of assets to keep them aligned with their mandate and comply with relevant rules and regulations.

## People

Our clients' portfolios are managed between a team of 12 Investment Managers and 5 Research Specialists. Many of our Investment Managers have been with Thesis for many years, and clients benefit from their valuable experience of investing through changing market conditions.

Across the business we aim to recruit individuals from the wider investment, wealth management and banking industries, bringing new ideas, expertise and talent to strengthen our team.

## Award winning service

Our clients value the long term and trusted personal relationships they build with our teams.

We are delighted that the quality of our service has been recognised by independent, impartial industry experts.

Defaqto, specialists in analysing financial offerings, has recognised the quality of our service by awarding us the maximum 5\* Rating for our Bespoke Discretionary, Model Portfolio Services and Funds over the last 4 years. We also won the ARC 3D award for demonstrating a superior commitment to the principles of transparency, engagement and integrity.



Brighton



Lymington

## Company strength: client comfort

Thesis is authorised and regulated by the Financial Conduct Authority (FCA) and required to operate within their rules. We are authorised by the FCA to hold and manage client money and have implemented all appropriate procedures and controls to safeguard it. Client assets are registered in our nominee companies, which are non-trading companies set up to ensure complete segregation of client assets from our own. In addition to our Statutory financial audit we are also subject to a separate assessment of compliance of the Client Asset (CASS) rule by appropriately qualified firm of accountants.

The Thesis group includes a number of regulated firms.

- Thesis Asset Management Limited
- Thesis Unit Trust Management Limited
- Pallant Independent Limited

## How can we help?

Thesis can support you in different ways to ensure your investment needs are met, depending upon your requirements. We would be delighted to discuss these in more detail. Please contact us for more information.

### Chichester – Head office:



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You can also follow us on:



@ThesisAM



Thesis Asset Management

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